

Form PTO-146
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No.
062020-1440Serial No.:
10/632,176Applicant
Ayazi, et al.Filing Date
7-31-03Group
2811

U.S. PATENT DOCUMENTS

| Examiner Initials | Item | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|------|-----------------|----------|-----------------|-------|----------|----------------------------|
| DHL | A | 3,513,356 | | Newell | | | 6-27-67 |
| | B | 3,634,787 | 1-11-72 | Newell | 333 | 72 | 1-23-68 |
| | C | 5,162,691 | 11-10-92 | Mariani, et al. | 310 | 321 | 1-22-91 |
| | D | 5,426,070 | 6-20-95 | Shaw, et al. | 437 | 203 | 5-26-93 |
| | E | 5,491,604 | 2-13-96 | Nguyen, et al. | 361 | 278 | 12-11-92 |
| | F | 5,587,620 | 12-24-96 | Ruby, et al. | 310 | 346 | 12-21-93 |
| | G | 5,589,082 | 12-31-96 | Lin, et al. | 216 | 2 | 6-7-95 |
| | H | 5,663,505 | 9-2-97 | Nakamura | 73 | 702 | 5-8-96 |
| | I | 5,719,073 | 2-17-98 | Shaw, et al. | 437 | 228 | 9-27-94 |
| | J | 5,846,849 | 12-8-98 | Shaw, et al. | 438 | 52 | 2-24-97 |
| | K | 5,847,454 | 12-8-98 | Shaw, et al. | 257 | 734 | 9-22-97 |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|-----|---|---|
| DHL | L | Ayazi, et al.; Piezoelectric On Semiconductor-On-Insulator Microelectromechanical Resonators And Methods Of Fabrication; U.S. Patent Application Serial No.10/631,948; filed July 31, 2003 |
| | M | Ma, et al.; Sacrificial Layer Technique to Make Gaps in MEMS Applications; US Patent Application Publication No.: 2003/0006468 A1; filed June 27, 2001. |
| | N | Bourgeois, et al.; Design of Resonators for the Determination of the Temperature Coefficients of Elastic Constants of Monocrystalline Silicon; 1997 IEEE International Frequency Control Symposium; Orlando, FL.; Pages 791-799 |
| | O | Mihailovich, et al.; Dissipation Measurements of Vacuum-Operated Single-Crystal Silicon Microresonators, Sensors and Actuators A 50 (1995); Pages 199-207 |
| | P | Roszhart, et al.; The Effects of Thermoelastic Internal Friction on the Q of Micromachined Silicon Resonators; IEEE Solid State Sensor and Actuator Workshop, Hilton Head, SC 6/4-7/90 (1990) pp 489-494 |
| | Q | Cleland, et al.; Fabrication of High Frequency Nanometer Scale Mechanical Resonators from Bulk Si Crystals; Condensed Matter Physics, CA Inst. of Tech.; Received June 21, 1996, Pages 2653-2655 |
| | R | No, et al.; The HARPSS Process for Fabrication of Nano-Precision Silicon Electromechanical Resonators; IEEE Conf. of Nanotechnology; October 30, 2001; Pages 489-494 |
| | S | Water, et al.; "Physical and Structural Properties of ZnO Sputtered Films"; Dept. of EE, National Cheng Kung University; Received May 7, 2001; Pages 67-72 |

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

Kongshan

DATE CONSIDERED:

11-12-04

Form PTO-1449

| | | | | | | |
|--|--|--|--|--|------------------------------------|---------------------------|
| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | Attorney Docket No. 062020-1440 | Serial No.: 10/632,176 |
| | | | | | Applicant Ayazi, et al. | |
| | | | | | Filing Date 7-31-03 | Group 2811 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Item | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|------|-----------------|----------|----------------|-------|----------|----------------------------|
| DHK | T | 5,873,153 | 2-23-99 | Ruby, et al. | 29 | 25.35 | 8-27-96 |
| | U | 5,884,378 | 3-23-99 | Dydyk | 29 | 25.35 | 7-22-96 |
| | V | 5,894,647 | 4-20-99 | Lakin | 29 | 25.35 | 6-30-97 |
| | W | 5,914,801 | 6-22-99 | Dhuler, et al. | 359 | 230 | 9-27-96 |
| | X | 5,976,994 | 11-2-99 | Nguyen, et al. | 438 | 795 | 6-13-97 |
| | Y | 5,998,906 | 12-7-99 | Jerman, et al. | 310 | 309 | 8-17-98 |
| | Z | 6,000,280 | 12-14-99 | Miller, et al. | 73 | 105 | 3-23-98 |
| | a | 6,051,866 | 4-18-00 | Shaw, et al. | 257 | 417 | 8-11-98 |
| | b | 6,060,818 | 5-9-00 | Ruby, et al. | 310 | 363 | 6-2-98 |
| | c | 6,067,858 | 5-30-00 | Clark, et al. | 73 | 504.16 | 5-30-97 |
| | d | 6,087,747 | 7-11-00 | Dhuler, et al. | 310 | 90 | 4-1-99 |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|-----|---|---|
| | e | DeVoe; Piezoelectric Thin Film Micromechanical Beam Resonators, Sensors and Actuators, A 88; 2001; pp 263-272 |
| DHL | f | Bhave, et al.; Poly-Sige: A High-Q Structural Material for Integrated RF Mems; Solid-State Sensor, Actuator and Microsystems Workshop, Hilton Head Island, South Carolina, June 2-6, 2002; pp 34-37 |
| | g | Hsu, et al.; Q Optimized Lateral Free-Free Beam Micromechanical Resonators; Digest of Technical Papers, The 11 th Int. Conf. On Solid-State Sensors & Actuators (Transducers'01), Munich, Germany, June 10-14, 2001, pp. 1110-1113 |
| | h | Yasumura, et al.; Quality Factors in Micron- and Submicron - Thick Cantilevers; Journal of Microelectromechanical Systems, Vol. 9, No. 1, March 2000; pp 117-125 |
| | i | Peterson, et al.; Resonant Beam Pressure Sensor Fabricated With Silicon Fusion Bonding; 6th Int. Conference on Solid State Sensors and Actuators (Transduces '91), San Francisco, CA; 1991; pp 664-667 |
| | j | Abdelmoneum, et al.; Stemless Wine-Glass Mode Disk Micromechanical Resonators; IEEE; 2003; pp 698-701 |
| | k | Piekarski, et al; Surface Micromachined Piezoelectric Resonant Beam Filters; Sensors and Actuators, A 91; 2001; pp 313-320 |
| | l | Lifshitz, et al.; Thermoelastic Damping In Micro- and Nanomechanical Systems; Physical Review B; Vol. 61, No. 8; February 15, 2000; pp 5600-5609 |
| | m | Srikar, et al.; Thermoelastic Damping In Fine-Grained Polysilicon Flexural Beam Resonators; Journal of Microelectromechanical Systems, Vol. 11, No. 5; October, 2002; pp 499-504 |

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

11-12-04

| Form PTO-1449 INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | Attorney Docket No. 062020-1440 | Serial No.: 10/632,176 | |
|---|------|--|----------|------------------------------|------------------------------------|---------------------------|----------------------------|
| | | | | | Applicant Ayazi, et al. | | |
| | | | | | Filing Date 7-31-03 | Group 2811 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initials | Item | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
| DHUL | n | 6,121,552 | 9-19-00 | Brosnihan, et al. | 174 | 253 | 6-13-97 |
| | o | 6,134,042 | 10-17-00 | Dhuler, et al. | 359 | 224 | 4-1-99 |
| | p | 6,215,375 | 4-10-01 | Larson, III, et al. | 333 | 187 | 3-30-99 |
| | q | 6,236,281 | 5-22-01 | Nguyen, et al. | 331 | 154 | 9-21-99 |
| | r | 6,238,946 | 5-29-01 | Ziegler | 438 | 50 | 8-17-99 |
| | s | 6,239,536 | 5-29-01 | Lakin | 310 | 364 | 9-8-98 |
| | t | 6,256,134 | 7-3-01 | Dhuler, et al. | 359 | 212 | 7-28-00 |
| | u | 6,275,122 | 8-14-01 | Speidell, et al. | 333 | 186 | 8-17-99 |
| | v | 6,275,320 | 8-14-01 | Dhuler, et al. | 359 | 237 | 9-27-99 |
| | w | 6,291,931 | 9-18-01 | Lakin | 310 | 364 | 11-23-99 |
| | x | 6,296,779 | 10-2-01 | Clark, et al. | 216 | 66 | 2-22-99 |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| DHUL | y | Lakin; Thin Film Resonators and Filters; IEEE Ultrasonics Symposium; 1999; pp 895-906 | | | | | |
| | z | Ruby, et al.; Ultra-Miniature High-Q Filters and Duplexers Using FBAR Technology; IEEE International Solid-State Circuits Conference; 2001; pp 120-121 & 438 | | | | | |
| | AA | Clark, et al.; High-Q VHF Micromechanical Contour-Mode Disk Resonators; IEEE; 2000; pp 493-496 | | | | | |
| | BB | Wang, et al.; VHF Free-Free Beam High-Q Micromechanical Resonators; Journal of Microelectromechanical Systems, Vol. 9, No. 3; September 2000; pp 347-360 | | | | | |
| | CC | Piazza, et al.; Voltage-Tunable Piezoelectrically-Transduced Single-Crystal Silicon Resonators on SOI Substrate; in Proc. IEEE International Microelectro Mechanical Systems Conference (MEMS '03), Koyoto, Japan, Jan. 2003 | | | | | |
| | DD | Pourkamali, et al.; A 600kHz Electrically-Coupled MEMs Bandpass Filter; MEMS '03, pp. 702-705 | | | | | |
| | EE | Pourkamali, et al.; SOI-Based HF and VHF Single-Crystal Silicon Resonators With SUB-100 Nanometer Vertical Capacitive Gaps; Transducers '03, Boston, MA; June 2003 | | | | | |
| | FF | No, et al.; Single-Crystal Silicon HARPSS Capacitive Resonators With Submicron Gap-Spacing; Solid State Sensors, Actuators and Microsystems Workshop; pp. 281-284, Hilton Head, SC; June 2002 | | | | | |
| * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | | |
| EXAMINER'S SIGNATURE: <i>KongDabne</i> | | | | DATE CONSIDERED: 11-12-04 | | | |

| | | | | | | |
|---|--|--|--|--|------------------------------------|---------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | Attorney Docket No. 062020-1440 | Serial No.: 10/632,176 |
| | | | | | Applicant Ayazi, et al. | |
| | | | | | Filing Date 7-31-03 | Group 2811 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|------|-----------------|----------|---------------------|-------|----------|----------------------------|
| Examiner Initials | Item | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
| DHL | GG | 6,348,846 | 2-19-02 | von Gutfeld, et al. | 333 | 201 | 10-14-99 |
| | HH | 6,373,682 | 4-16-02 | Goodwin-Johansson | 361 | 278 | 12-15-99 |
| | II | 6,377,438 | 4-23-02 | Deane, et al. | 361 | 278 | 10-23-00 |
| | JJ | 6,391,674 | 5-21-02 | Ziegler | 438 | 52 | 12-28-00 |
| | KK | 6,428,713 | 8-6-02 | Christenson, et al. | 216 | 2 | 10-1-99 |
| | LL | 6,429,755 | 8-6-02 | Speidell, et al. | 333 | 197 | 1-30-01 |
| | MM | 6,433,401 | 8-13-02 | Clark, et al. | 257 | 524 | 4-5-00 |
| | NN | 6,480,645 | 11-12-02 | Peale, et al. | 385 | 18 | 1-30-01 |
| | OO | 6,485,273 | 11-26-02 | Goodwin-Johansson | 417 | 410.2 | 9-1-00 |
| | PP | 6,495,892 | 12-17-02 | Goodman, et al. | 257 | 414 | 3-26-99 |
| | QQ | 6,497,141 | 12-24-02 | Turner, et al. | 73 | 105 | 6-5-00 |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|----|----|--|
| NW | RR | Amini, et al.; Capacitive Accelerometer; IEEE International Solid-State Circuits Conference; 2000; pp 1-3 |
| | SS | Ho, et al.; Through-Support-Coupled Micromechanical Filter Array; School of Electrical and Computer Engineering; Proc. IEEE International Micro Electro Mechanical Systems Conference (MEMS'04), Maastricht, The Netherlands, Jan. 2004, pp769-772 |
| | TT | Pourkamali, et al.; Fully Single Crystal Silicon Resonators With Deep-Submicron Dry-Etched Transducer Gaps; Proc. IEEE International Micro Electro Mechanical Systems Conference (MEMS '04), The Netherlands, Jan. 2004, pp 813-816 |
| | UU | Pourkamali, et al.; Electrostatically Coupled Micromechanical Beam Filters; Proc. IEEE International Micro Electro Mechanical Systems Conference (MEMS '04), The Netherlands, Jan. 2004, pp. 584-587 |
| | VV | Amini, et al.; A High Resolution, Stictionless, CMOS Compatible SOI Accelerometer with a Low Noise, Low Power, 0.25 μm CMOS Interface; IEEE MEMS'04, Jan. 2004, pp. 572-575 |
| | WW | Humad, et al.; High Frequency Micromechanical Piezo-On-Silicon Block Resonators; IEEE; 2003 |

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

| | |
|-----------------------|------------------|
| EXAMINER'S SIGNATURE: | DATE CONSIDERED: |
|-----------------------|------------------|

DATE CONSIDERED:

11-12-04

| | | | | | | |
|---|--|--|--|--|------------------------------------|---------------------------|
| Form PTO-1449 | | | | | Attorney Docket No. 062020-1440 | Serial No.: 10/632,176 |
| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | Applicant Ayazi, et al. | |
| | | | | | Filing Date 7-31-03 | Group 2811 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|------|-----------------|---------|----------------|-------|----------|----------------------------|
| Examiner Initials | Item | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
| DNUL | XX | 6,555,201 | 4-29-03 | Dhuler, et al. | 428 | 137 | 5-15-00 |
| | | | | | | | |
| | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | |
|--|----|--|
| DNUL | YY | Abdolvand, et al.; Thermoelastic Damping in Trench-Refilled Polysilicon Resonators; IEEE; 2003; pp 324-327 |
| | ZZ | Sundaresan, et al.; A 7-MHz Process, Temperature and Supply Compensated Clock Oscillator in 0.25µm CMOS; Proc. of International Symposium on Circuits and Systems (ISCAS) 2003, vol. 1, pp. 693-696, May 2003 |
| | aa | No, et al.; Single-Crystal Silicon HARPSS Capacitive Resonators With Submicron Gap-Spacing; Solid-State Sensor, Actuator and Microsystems Workshop, Hilton Head Island, South Carolina, June 2-6, 2002; pp 281-284 |
| | bb | Balaraman, et al.; Low-Cost Low Actuation Voltage Copper RF MEMS Switches; IEEE; 2002; pp 1225-1228 |
| | cc | Dalmia; Design of Inductors in Organic Substrates For 1-3 GHz Wireless Applications; IEEE; 2002; pp 1405-1408 |
| | dd | Dalmia, et al.; High-Q RF Passives on Organic Substrates Using a Low-Cost Low-Temperature Laminate Process; Proc. 2002 Symposium on Design, Test, Integration and Packaging of MEMS/MOEMS (DTIP 2002), Cannes, France, May 2002, pp. 660-669 |
| | ee | Ayazi, et al.; A High Aspect-Ratio Polysilicon Vibrating Ring Gyroscope; Solid-State Sensor and Actuator Workshop, Hilton Head Island, South Carolina, June 4-8, 2002; pp 289-292 |
| | ff | Ayazi, et al.; High Aspect-Ratio Dry-Release Poly-Silicon MEMS Technology for Inertial-Grade Microgyroscopes; IEEE; 2000; pp 304-308 |
| | gg | Ayazi, et al.; Design and Fabrication of A High-Performance Polysilicon Vibrating Ring Gyroscope; IEEE; 1998; pp 621-626 |
| | hh | Selvakumar, et al.; A High Sensitivity Z-Axis Torsional Silicon Accelerometer; The International Electron Devices Meeting; San Francisco, CA; Dec. 8-11, 1996 |
| | ii | Hao, et al.; An Analytical Model for Support Loss in Micromachined Beam Resonators With In-Plane Flexural Vibrations; Sensors and Actuators, A 109; 2003; pp 156-164 |

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

| | |
|--|------------------------------|
| EXAMINER'S SIGNATURE: <i>KangBahn</i> | DATE CONSIDERED: 11-12-04 |
|--|------------------------------|

| | | | | |
|--|----|--|------------------------------------|---------------------------|
| Form PTO-1449 | | | Attorney Docket No. 062020-1440 | Serial No.: 10/632,176 |
| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | Applicant Ayazi, et al. | |
| | | | Filing Date 7-31-03 | Group 2811 |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
| <i>DHIL</i> | jj | Pourkamali, et al.; High-Q Single Crystal Silicon HARPSS Capacitive Beam Resonators With Self-Aligned Sub-100-nm Transduction Gaps; Journal of Microelectromechanical Systems, Vol. 12, No. 4; August 2003; pp 487-496 | | |
| | kk | Ayazi; The HARPSS Process for Fabrication of Precision MEMS Inertial Sensors; Mechatronics 12; 2002; pp 1185-1199 | | |
| | ll | Ayazi; A HARPSS Spolysilicon Vibrating Ring Gyroscope; Journal of Microelectromechanical Systems; Vol. 10, No. 2; June 2001; pp 169-179 | | |
| | mm | Ayazi, et al.; High Aspect-Ratio Combined Poly and Single-Crystal Silicon (HARPSS) MEMS Technology; Journal of Microelectromechanical Systems; Vol. 9, No. 3; Sept. 2000; pp 288-294 | | |
| | nn | Ayazi, et al.; High Aspect-Ratio Polysilicon Micromachining Technology; Sensors and Actuators; 87; 2002; pp 46-51 | | |
| | oo | Yazdi, et al.; Micromachined Inertial Sensors; Proceedings of the IEEE; Vol. 86, No. 8; August 1998; pp 1640-1659 | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| <p>* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.</p> | | | | |
| EXAMINER'S SIGNATURE: <i>Karshik</i> | | DATE CONSIDERED: <i>11-12-04</i> | | |

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Form PTO-1449

MAY 14 2004

Attorney Docket No.
062020-1440Serial No.
10/632,176**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Applicant
Ayazi, et al.Filing Date
07/31/03Group
2811**U.S. PATENT DOCUMENTS**

| Examiner Initials | Item | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|------|--------------------|----------|---------------|-------|----------|----------------------------|
| n.n.l | A | US 2003/0006468 A1 | 01/09/03 | Ma et al. | 257 | 416 | 06/27/01 |
| n.n.v | B | 5,976,994 | 11/02/99 | Nguyen et al. | 438 | 795 | 06/13/97 |
| | C | | | | | | |
| | D | | | | | | |
| | E | | | | | | |
| | F | | | | | | |
| | G | | | | | | |
| | H | | | | | | |
| | I | | | | | | |
| | J | | | | | | |
| | K | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Subclass | Translation | |
|--|---|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | L | | | | | | | |
| | M | | | | | | | |
| | N | | | | | | | |
| | O | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|-------|---|---------------------------------|
| n.n.l | P | PCT International Search Report |
| | | |
| | Q | |
| | | |
| | R | |
| | | |

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

11-12 - 04